

CITY OF NORTHAMPTON
COMMUNITY DEVELOPMENT BLOCK
GRANT PROGRAM



CONSOLIDATED ANNUAL PERFORMANCE &
EVALUATION REPORT

PROGRAM YEAR 27
JULY 1, 2010 – JUNE 30, 2011

COMMUNITY AND ECONOMIC DEVELOPMENT
OFFICE

CONSOLIDATED ANNUAL PERFORMANCE REPORT

City of Northampton

July 1, 2010 to June 30, 2011

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**City of Northampton, Massachusetts
Community and Economic Development Office**

**CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

July 1, 2010 - June 30, 2011
Municipal Fiscal Year 2011
CDBG Program Year 27

PRIORITY NEEDS ADDRESSED

The Consolidated Plan is a five-year plan that identifies the City's priority needs and highlights those eligible to be addressed with CDBG funds. Each successive year the City prepares an annual Action Plan based on priority needs detailed in the Consolidated Plan. The City's 2010 Consolidated Plan and the Annual Action Plan prepared for Program Year 27 provided relevant guidance on the use of CDBG funds. This annual performance report summarizes this past year's achievements.

The use of CDBG funds in Year 27 corresponds to the priorities listed within those two planning documents. This past year's programming addressed a majority of the high and medium priority needs identified. All CDBG funds programmed this past year, including all available funds remaining from prior years, were directed towards meeting the CDBG National Objective of benefiting persons with low and moderate incomes.

High Priority Needs Addressed

- Homeowner Housing Rehabilitation and Repair
- Preservation of Existing Affordable Rental Housing Stock
- Housing Support Services
- Emergency Food Assistance
- Youth Programs
- Services for Special Needs Populations
- Skills & Training Development
- Removal of Architectural Barriers

- Micro Businesses Assistance
- CDBG Administration, Community and Economic Development & Staff Capacity

Medium Priority

- Preservation of the Existing Shelter System
- Public Facilities
- Community Centers
- Job Creation and Retention

Assessment of Goals and Objectives

The City of Northampton successfully addressed the priorities identified in the 2005 Consolidated Plan and Annual Action Plan. CDBG funding allocations were spread across a diverse array of projects and services, all of which were targeted to meet the City's high and medium priority needs. See page 21 for a detailed description of funded activities and annual accomplishments.

The following information summarizes City FY11 HUD Program Year 2010 funding availability and expenditures:

| | |
|-----------------------------------|-----------------|
| Funds Available from Prior Years: | \$ 288,296.22 |
| FY11 Grant Award: | \$ 821,633.00 |
| Program Income Received FY11: | \$ 64,848.51 |
| <hr/> | |
| Total Funds Available for FY11: | \$ 1,174,777.73 |
| <hr/> | |
| Total funds expended in FY11: | \$ 850,023.18 |
| Total Funds Carried Over to FY12: | \$ 324,754.55 |

Each program year, as part of developing the annual CDBG Action Plan, the City evaluates its priority needs and makes adjustments based on this evaluation. The most recent evaluation of priorities occurred early in 2010; as part of the City's Housing Needs Assessment and Strategic Housing Plan and the FY11 Year 27 CDBG Annual Action Plan public hearings.

The program and project goals established through contractual agreements with sub-recipient organizations were substantially met. The Council on Aging Home Repair Program, Valley CDC First Time Homebuyer Program and Micro Business

Assistance Program, and public service activities all combined to provide much needed assistance to the low and moderate-income residents of Northampton.

For City residents who are renters, CDBG-funded activities included counseling for households that felt they experienced discrimination. Efforts were made to inform real estate professionals and rental property owners of their rights and responsibilities to insure that a rental situation is beneficial for both parties. Additionally, the SRO Outreach program provided housing stabilization support to residents of SRO units through food pantry access and linkages to other service delivery systems.

CDBG-funded programs to assist those finding them-selves homeless in Northampton included staffing at the City's homeless shelters (Grove Street Inn and Interfaith Cot Shelter). Many of the public services grant recipients carry out homelessness prevention activities, such as Generation Q and the Literacy Project's Passport to Success Program.

CDBG funded programs to help low and moderate income residents meet their basic needs. The Northampton Survival Center received funding for their emergency food pantry and Kid's Summer Food Program, which bridged the summer nutrition gap by distributing free groceries for breakfasts and lunches to Northampton children who rely on the school meal programs during the year. A meals program operated by the Manna Soup Kitchen in downtown was available to the homeless and other persons with limited means.

For those households with low and moderate incomes able to afford homeownership, the Valley CDC Program offered counseling, guidance and referral to HOME assisted units in the area. Financial Literacy workshops, fair housing workshops and First Time Homebuyer Sessions were all offered to insure future homeowners are educated about the home buying process. The Mass Fair Housing Center offered foreclosure prevention assistance to help maintain homeownership once obtained.

Economic development was enhanced by work at the James House Community Learning Center, Valley CDC's Micro Business Counseling Program, the River Valley Market small business loan. Working on projects that provided educational training to enable people to obtain jobs as well as on projects that actually created jobs, was a focus this past year.

The City implemented its FY11 Year 27 CDBG Action Plan through the combined efforts of City departments, the Northampton Housing Authority, area non-profit social service agencies, local economic development organizations and non-profit regional and local housing providers.

Affordable Housing

Presently, approximately 11.5 % of the housing stock in Northampton is affordable. The City's goal is to maintain and expand on this inventory of affordable housing through all means available, including utilization of:

- HUD Community Development Block Grant funding
- HUD Self Help Homeownership Opportunity Funding (through our partner Pioneer Valley Habitat for Humanity)
- HUD McKinney Vento Supportive Housing Program funding
- Federal SAMHSA funds
- HUD VASH vouchers
- Federal Affordable Home Loan Bank Funding
- State HOME funds as well as other available state resources (through our partners the Valley CDC, HAP - The Region's Housing Partnership, MA Department of Housing and Community Development and their affiliated programs - Affordable Housing Trust funds, Housing Innovations Fund
- Mass Housing and the Massachusetts Housing Partnership
- Community Preservation Act funds dispersed locally
- Zoning regulations which encourage affordable housing (with private as well as non-profit developers)

Specific housing activities funded through block grant allocations were in all cases leveraged by other funding sources, some listed above. Efforts focused on affordable housing creation through preservation and rehabilitation of existing housing stock in partnership with non-profit housing developers and the provision of support services for housing stabilization. CEDO staff capacity was supported by CDBG planning and administration funds.

The City of Northampton allocated \$124,665 of its available CDBG funds for housing and homelessness activities. The following components were funded:

| | |
|---|----------|
| 1. Council on Aging / Home Repair Program | \$51,810 |
| 2. Valley CDC / First Time Homebuyer Program | \$20,000 |
| 3. Housing Support Services/ SRO Outreach | \$18,000 |
| 4. Homeless Shelter Staffing (Grove Street and Cot Shelter) | \$32,355 |
| 5. Fair Housing Services | \$2,500 |

Affordable Housing Projects Completed

Gandara House - Maple Avenue - Another addition to the City's affordable housing inventory came to fruition this past year. In true community collaboration, Friends of Hampshire County Homeless Individuals (FHCHI) partnered with Gandara Center, Inc., the Alliance for Sober Living and the Recover Project to create 6 units of permanent supported housing for chronically homeless committed to sobriety. Yvonne Freccero, President of FHCHI and long time homeless advocate,

was personally responsible for the creation of Yvonne's House on Straw Avenue the year before last. That project created 6 units of housing for chronically homeless. During that process, she recognized the need for a housing environment where individuals could be supported in a sober setting. She raised \$75,000 privately, secured \$75,000 from MassHousing's CCRI grant (Center for Community Recovery Innovations) and \$ 150,000 from the local Community Preservation Act funding. The project was finished in June of 2011. Tenant selection occurred over the summer with full occupancy planned for this fall.

A Housing First model, chronically homeless individuals with addictions will have access to a multi-disciplinary team of clinical, medical and case management staff and peer mentors who will provide intensive, flexible, outreach services to help maintain stability. FHCHI raised the funds to purchase the duplex, located downtown in close proximity to services and deeded the property over to Gandara for on-going operation and management.

Accomplishment: Six new permanent supported housing rental units for chronically homeless individuals committed to sobriety.

Valley CDC 96-98 King Street – Valley received funding awards in early 2010 to convert an existing building into 10 units of enhanced SRO housing. VCDC secured a State HOME award of \$500,000, \$150,000 from the State's Affordable Housing Trust Fund, \$500,000 from the State's Housing Innovations Fund, \$225,000 from the local Community Preservation Committee. Smith College contributed \$550,000 from its Affordable Housing Fund as a local match. Also secured were a \$26,000 weatherization and energy loan from Community Action and a \$100,000 permanent loan from Florence Savings Bank. Construction began in the fall of 2010 and was completed in late June 2011. A dedication ceremony was held July 12, 2011. Attendance was standing room only as the community turned out to celebrate this new addition to our affordable housing inventory.

The existing building, although located on a primary entranceway close to the central business district, had been gutted by the previous owner and left to sit for several years. The now rehabilitated structure is solid, substantial and attractive housing that is an asset rather than an eyesore. The income mix for the units is as follows: 5 units at 60%, 2 units at 50% and 3 units at 30% of area median income.

Accomplishment: Ten new affordable SRO rental units for the homeless.

Garfield/Verona Homeownership Units – Habitat for Humanity dedicated 2 affordable homeownership units at this development. Initiated in 2009, Habitat has a partnership with the Smith Vocational High School Building program whose

students and faculty assist with building the homes. The two newly housed families are single female headed, both with incomes below 50% of area median.

Accomplishment: Two new units of affordable home ownership housing.

Veterans Affairs Supportive Housing (VASH) Program - The VASH Program is administered by the U.S. Department of Veterans Affairs Medical Center in Leeds in partnership with the Northampton Housing Authority. For Federal fiscal years FY08, FY09 and FY10, a total of 180 vouchers were issued. 50 additional vouchers were issued this past year, for a total of 230. 50 of those are administered by the State Department of Housing and Community Development (DHCD). Of the 180 available to the Northampton Housing Authority, 25 were allocated to Pittsfield. Out of the remaining 155 available for this region, all 155 have been issued at least once. 35 placements have been made in Northampton. The majority have been made in Pittsfield and Springfield, due to the larger supply of affordable units.

16 N. Maple Street - The Maples - Valley CDC also secured funding sources this past year to renovate an 11 unit SRO they own and manage in Florence. Improvements included a new sewer line and roof, installation of a handicapped - accessible ramp, renovation of three existing bathrooms and communal kitchen and a new heating system, replaced windows and paid off an existing loan. Construction commenced in July of 2010 and was completed in January of 2011. The project included the following funding sources:

| | | |
|--------|-----------------------------------|-----------|
| State/ | Housing Stabilization Funds | \$450,000 |
| | Housing Innovations Funds | \$500,000 |
| Local/ | Community Preservation Act | \$250,000 |
| | Florence Savings Bank (perm loan) | \$100,000 |

Accomplishment: Preservation of 11 Single Room Occupancy Units.

Housing Projects Underway

The following projects are underway and have support from both the City departments and the Northampton Housing Partnership:

- **Garfield/Verona Homeownership Units** - Development of two duplexes and a single family home for five affordable homeownership units by Pioneer Valley Habitat for Humanity. Construction on the project began in the spring of 2009. Two units have been completed and sold, the third house is under construction (fall 2011) and will be completed in the spring of 2012. The fifth house will be started in 2013 and completed in the spring of 2014. The City retained another lot in the subdivision that will be sold at market rate, to replenish the CDBG program.

- Veterans Affairs Supportive Housing (VASH) Program - No announcement has been made yet for a new allocation of vouchers to the Northampton Housing Authority. However, the Veteran's Administration in Leeds will be absorbing the Worcester and Fitchburg VA outpatient clinics as of October 1, 2011. There is an existing VASH program in that area that has 105 total vouchers. Those vouchers and case managers will be overseen by the Leeds program.

Housing Projects Awaiting Funding

- Burts Pit Road Parcel- The Northampton Housing Authority is ready to begin construction on a project being done in collaboration with the Department of Developmental Services (formerly Department of Mental Retardation) to develop a congregate facility. This is the third State Hospital parcel deeded directly to the NHA in the land disposition agreement. The facility will house 10 DDS clients and have environmentally friendly features such as geothermal and passive solar heat design elements. The project has been finalized and permits were obtained in 2009. This project continues to be on hold, due to the lack of allocated State resources. Funding needs to be secured from the issuance of a bond. Due to the fiscal challenges being faced at the State level, this has not happened yet.

Housing Projects being Planned

- Affordable Homeownership for Families/Laurel Street Parcel - The Northampton Housing Authority is planning for the development of a parcel that is the fourth and final deeded through the State Hospital disposition. The conceptual plan is to construct 6 duplexes for a total of 12 units targeted for homeownership. The project is on hold due to the lack of State funding resources for the creation of homeownership units. Moving ahead also requires State legislative approval to revise the Land Disposition agreement which stipulated the parcels be developed for rental housing.
- Permanent Housing for Veterans/ VA Campus - Soldier On is joining together with the VA Medical Center in Leeds to create (up to) 80 units of permanent housing for Veterans in what is heralded as a "first in the nation" partnership. In the first phase, 48 units of permanent affordable supportive housing will be made available to low income, formerly homeless veterans. Ten units will be set aside for chronically homeless. Soldier On received a \$6,250,000 VA Innovation Initiative Competition in the spring of 2011. One of three housing awards in the nation, the project will develop and field test flexible, sustainable, affordable housing using replicable modular and green energy building techniques. The development phase is underway, with design, funding, permitting and site preparation in progress. Approval of an

enhanced use lease (75 years) for the site is expected by the end of 2011.

This will be the first limited- equity affordable permanent supportive housing for Veterans in Hampshire County. This project gives veterans an opportunity to own their units, age in place, and receive assisted living services. Income levels are projected for 60% and 30% of area median income. On-site health, mental health and addiction services will be available at the VA Medical Center. Soldier On will provide case management support.

Housing Rehabilitation Program

The Home Repair Program administered by the Council on Aging completed work on 4 households this past year. There were 2 loans made and 2 grants. Work ranged from porch and roof repair to the installation of handicap bathroom hardware.

First Time Homebuyer Assistance

The Director of Valley Community Development's Homeownership Assistance Program counseled 65 First Time Homebuyers and referred them to HOME assisted units.

Housing and Homelessness Collaborative Efforts

Northampton Housing Partnership

Northampton has an active housing partnership (since 1990) that also serves as the City's fair housing committee. The Partnership advocates for affordable rental and homeownership units by working with non-profit agencies, private developers and others to create and preserve affordable housing. To actively further fair housing access and opportunity, it continues to work closely with the Massachusetts Fair Housing Center. A joint meeting was held with the Human Rights Commission.

This past year, the Northampton Housing Partnership completed a Housing Needs Assessment and Strategic Plan. Funding was secured (\$35,000) from the Community Preservation Committee. Karen Sunnarborg was selected as a consultant and worked diligently from May of 2009 to the spring of 2011. The comprehensive document (the first strategic housing plan since 1986) will be utilized to guide policy and CDBG and CPA expenditure decision making. The plan provides estimates of current and anticipated housing needs, specifically for low and moderate income residents for 5 - 10 years and strives to meet the requirements of a Housing Production Plan for submission to the State. Much of the data collected for this effort informed the creation of the new 5 Year Consolidated Plan completed in the spring of 2010 and will guide annual action plans for years to come.

By official City Charter, the Housing Partnership oversees funding recommendations for CDBG housing activities and reviews all applications made to the City's housing draw down fund. Funds for affordable housing projects have been limited due to the Senior Center debt repayment obligation. However, the Community Preservation Act fund has been able to support housing projects and has successfully done so for the past several years. The two groups work closely together. The local Community Preservation Committee requires applicants coming to it for housing projects, to acquire an endorsement from the Housing Partnership prior to the submission of the final application. Through this review process, the Partnership can confirm for the CPC deliberation that the proposed project addresses (or not) the priority needs articulated in the Housing Plan.

Next Step Collaborative

The Next Step Collaborative is the monthly gathering of all housing and homeless service providers in the City. This group, active since 1994, is charged with the creation and sustenance of a coordinated and comprehensive care delivery system for the City's low and moderate-income individuals and families, with specific focus on the homeless. Facilitated by the City's Senior Housing and Community Development Planner/CDBG Administrator, providers come together each month to share information about services provided, service gaps, trends, and identify issues that need attention. This venue guarantees that all participants are aware of existing resources and gives agency staff the opportunity to check in with each other, as well as keeping the City informed about housing needs in the community.

Three County Continuum of Care

The City of Northampton's Community and Economic Development Office continued to serve as the lead agency for the Three County Continuum of Care, which administers Federal McKinney Vento homelessness funds for the region.

The Continuum includes all of the communities in Franklin, Hampshire and Hampden with the exception of the City of Springfield, which receives its own McKinney award. Last year was the 14th year the City has successfully applied for and secured 1.4 million dollars for annually for 14 programs. Continuum meetings are held monthly.

Western Massachusetts Network to End Homelessness

CEDO staff participated in the formation and implementation of the State funded Regional Network to End Homelessness, the past 2 years. The eighteen month grant from the State's Inter-Agency Council on Housing and Homelessness (ICHH) began early in 2009, concluded in September 2010 and was extended through June 2011. The State ICHH awarded over 8 million dollars for the creation of regional networks to coordinate homeless activities and distribute funding through pilot projects.

Additional funding sources (Davis Foundation, Pioneer Valley United Way, The Community Foundation of Western Mass.) have been secured to keep the Network Coordinator position funded since then. This has enabled the structure of committees to continue to meet and make progress on shifting the paradigm from an emergency shelter system to Housing First.

The City's Housing and Community Development Planner served on the 40 member Leadership Council, the 14 member Steering Committee, the 5 member Management Team and the 6 member ad hoc Governance Committee.

Western Massachusetts Inter-Agency Council on Housing and Homelessness

CEDO staff also participated in monthly meetings of the WMIC. This is a gathering of representatives of State agencies, primary homeless service providers and representatives of the 3 Continuums of Care. This body initiated the Housing First/REACH pilot project in Springfield and has spawned extensive regional collaboration. One of the larger efforts involved the hiring of a consultant to respond to the RFP for the Regional Networks which garnered 1.2 million for Western Massachusetts. The primary purpose of WMIC is to identify barriers to effective service delivery that result from the lack State agency coordination, and work to break down those barriers. The group was ably facilitated by Liz Sullivan, the Western Mass. Regional Director for the Department of Mental Health.

Cot Management Committee

The Housing Planner also serves as the City representative on the Management Committee that oversees the operation of the Hampshire Inter-faith winter emergency shelter. This highly successful program has operated in partnership with the City, ServiceNet, Inc. the program administrator and a cadre of 400+ community volunteers that provide the evening meal and over-night support for paid staff. The program operates from November 1st to April 30th. The Housing Planner serves as an overnight volunteer once a month to experience the program first hand.

Public Housing

The City of Northampton has a long-term working relationship with the Northampton Housing Authority (NHA). CDBG funds have been committed to the NHA by the City for various public housing improvements over the past several years.

The Mayor of Northampton appoints four of the five NHA commissioners, with the fifth being appointed by the Governor. The NHA provides the City with copies of its annual report and was involved in the consultation process undertaken for development of the 2010 Consolidated Plan and the Year 27 Action Plan.

The City's CDBG Consolidated Plan and the NHA's five-year plan are designed to be consistent in their approach to meeting the housing and social service needs of the City's very low, low, and moderate income population. The City's Housing and Community Development Planner/CDBG Administrator worked with the Housing Authority this past year to coordinate the goals and objectives of the City's CDBG Consolidated Plan and annual Action Plan with the NHA's five year strategy.

Public Housing Unit Condition and Planned Improvements

Unit Condition

The Northampton Housing Authority (NHA) rates the general condition of its public housing stock as good. Over the last several years renovations to Northampton's public housing units have improved security lighting, sewer and plumbing systems, and landscaping at Hampshire Heights, and the ventilation, intercom system, and common area lighting at Salvo House. Various Chapter 705 housing sites have been renovated, and a 705 site on Willow Street that was demolished in 1995 has been rebuilt. Most recently the NHA developed six (6) units of special needs housing for MA Department of Mental Health clients on Grove Street (McColgan Apartments) and continues planning activities for development of housing on Burts Pit Road in partnership with the MA Department of Mental Retardation (now known as the Department of Developmental Services).

Improvements

The following list details improvements recently completed, those completed in the 2010-2011 program year and planned for the future:

Florence Heights

- ✓ New perimeter fencing 2011
- ✓ Residential recycling program initiated Spring 2011
- Installation of domestic hot water heaters (on-going)
- Community Room upgrades 2009-2010
- Masonry repairs, landscaping improvements 2009-2010
- Metal gate repairs and painting 2009
- Cellar stairwell improvements 2009
- Painting (all buildings) 2009-2010
- Heating / furnace replacement (on-going)

Hampshire Heights

- ✓ Installation of new furnaces 2011
- ✓ Unit weatherization and insulation 2011
- ✓ Residential recycling program initiated Spring 2011

Tobin Elderly Apartments

- Install automatic door openers 2010

Cahill Apartments

- Community Room Upgrades (planning stage)
- ✓ Drainage improvements 2011
- Roof Replacement 2009
- Drainage improvements 2010

Salvo House

- New freezer for meals on wheels program located at Salvo House 2009
- Automatic door openers (accessibility improvements) 2010
- Installation of security cameras 2010

McDonald House

- Elevator cab upgrades 2011-12
- Fire Alarm upgrade 2011-12
- Accessibility improvements 2009
- Community Room upgrades 2009-2010
- Install high-efficiency hot water heaters 2009-2010
- Installation of security cameras 2010

Grace House

- Kitchen renovations 2011-12
- Construction of a storage shed 2009

Salvo House

- ✓ Installation of new elevator power units 2011

Fair Housing

The City of Northampton and the Northampton Housing Partnership, in its role as Fair Housing Committee, continued to work with the Mass Fair Housing Center, formally the Housing Discrimination Project of Holyoke during FY11. The focus of the CDBG contract was to continue community education efforts geared towards removing barriers to fair housing. MFHC experienced a transition with its Executive Director and City staff met with the new Director to establish a working relationship.

The following activities were conducted by the Mass Fair Housing Center (MFHC) over the course of the CDBG program year, reaching 51 city residents:

- Processed housing discrimination complaints (5)
- Conducted 2 Workshops (1) for First Time Homebuyers with Valley CDC (14) and one tenant/landlord workshop (10)
- Conducted staff trainings at Safe Passage (Domestic Violence Program) (6), Eliot Homeless services (7), Highland Valley Elder Services (9)

- Held its 5th Annual Fair Housing and Civil Rights Regional Conference with workshops on Fair Housing, Predatory Lending, Foreclosure Litigation Strategies, Removing Barriers to Fair Housing, Community Advocacy and Challenging Discrimination in our Neighborhoods. Regional conference was attended by 150 participants, including the City of Northampton.

Public Infrastructure

Public Infrastructure Improvements

The City of Northampton's Department of Public Works undertook and completed the replacement of 1,450 linear feet of sidewalk and street refurbishing on Conz Street. This project is located directly in front of a Northampton Housing Authority elderly high rise containing 192 housing units and the Northampton Senior Center. The sidewalk connects these sites with the central business district. Conz Street is entirely within an eligible CDBG Block Group. The immediate area is 75% residential based on a parcel by parcel analysis.

Economic Development

Economic Development Activities

River Valley Market (RVM) - In 2006 River Valley Market Inc. received funding for pre-development activities related to the construction of an organic food store co-operative. The market opened on April 30, 2008. To date, the total number of jobs created is 93.

In FY11, 43 jobs were created at River Valley Market. 3 of the 43 employees hired were Hispanic, 1 African American and 1 was a female head of a household. All positions available are advertised with the following statement:
"River Valley Market is an equal opportunity employer encouraging excellence through diversity".

Open positions are posted through the Franklin Hampshire Career Center, Franklin County Housing and Redevelopment Authority, Greenfield Housing Authority, Springfield Housing Authority, Casa Latina, Community Action of Franklin, Hampshire and North Quabbin, the Center for New Americans, The Massachusetts Rehabilitation Commission, Community Enterprises, the Valley Opportunity Council, the Literacy Project. That outreach yielded the following: 6 people were hired with very low incomes, 2 were hired with low incomes and 8 were hired with moderate incomes. 14 of the 43 people were unemployed prior to being hired. 26 of the positions will include employee sponsored health care benefits.

The Valley Community Development Corporation assisted 21 people interested in starting micro-businesses. Counseling sessions were conducted and follow-up

telephone and email support provided. Assistance included information on starting a business, creating a business plan, governmental, financial and business requirements such as insurance, legal and accounting resources, developing financial projections, analysis of ways to increase revenue, structuring marketing and sales campaigns and referrals to credit counselors when necessary. Nine new jobs were created and 15 jobs were retained.

Public Facility Improvements

The major CDBG funded public facilities project continued to be the renovation of the James House into a Community Learning Center. The bulk of the physical transformation has successfully concluded and programs have taken occupancy! In FY 11, the City hired an architect to prepare renovation design plans, put the project out to bid and hired a contractor to complete interior renovations which included: an upgrade of existing offices and common areas, creation of 5 new classroom spaces (from the former court room and basement holding cells), replaced the HVAC system, made energy efficiency improvements and installed photo voltaic panels. The Center for New Americans and the Literacy Project moved in and are providing adult basic education, college readiness, job training, and family literacy programs. A grand opening celebration was held in July of 2011.

Phase 3 renovations planned for FY12 include exterior painting and installation of an upgraded security system.

Other projects undertaken and completed were lead paint abatement activities at the City owned Vernon Street School. The facility is leased to Community Action, the regional anti-poverty agency. Some remedial abatement work needed to be occur due to the presence of Head Start and Early Intervention programs.

The Northampton Housing Authority installed electric door openers at Tobin Manor, housing for the elderly in Florence and the Walter Salvo House elderly housing complex in downtown Northampton. The Trustees of Forbes Library completed the design phase for their accessible front entrance project. Work was completed on the infrastructure for underground utility installations in Pulaski Park.

Public Facility, Economic Development and Affordable Housing - Environment and Energy Initiatives

Senior Center - The Northampton Senior Center received US Green Building Council designation as a Silver-rated Leadership in Environment and Energy Design (LEED) building upon completion of the City's LEED submission in September 2008. A construction site within the urban core, public transportation availability, bike racks, wastewater management, low energy smart lighting as well as geo thermal

heating and cooling all contribute to the Silver rating from the US Green Building Council in December of 2008.

River Valley Market Food Co Op - RVM is a LEED-certified green building by the US Green Building Council.

Affordable Housing - Valley CDC's N. Maple Street SRO renovation project was renovated to Energy Star standards. The King Street SRO Project received Energy Star certification. (Energy Star only certifies substantial rehabilitation projects and the Maples was only a moderate rehab). Energy Star rated products and appliances were installed in both projects and construction specification standards were utilized. The King Street SRO has interior electrical infrastructure to accommodate photovoltaic panels, should they be able to be installed in the future.

COA Home Repair Program - Work specifications include Energy Star rated appliances and construction materials whenever feasible.

James House Community Learning Center - Renovation has included installation of energy efficiency improvements and photovoltaic panels.

The CEDO Director participated on a Northampton Chamber of Commerce committee which meets regularly to educate, assist, and recognize businesses for implementing energy efficiency and sustainable business practices.

The CEDO Director also participated in the Sustainable Northampton Leading the Way Commercial Energy Efficiency program design and launch. The pilot program funded by the utility companies will assist Northampton businesses to obtain appropriate energy assessments, receive all rebates and tax incentives, and identify the best financing options to maximize energy savings and energy-related building improvements.

CDBG-R

CDBG-R provided a total of \$201,513 in a one-time allocation of funds to the City of Northampton. A Substantial Amendment was made to the Year 25 CDBG Action Plan for use of these funds.

The City of Northampton used the funds to renovate the James House, a municipally owned building formerly occupied by the Hampshire County Juvenile Court, for conversion into the Community Learning Center. The Learning Center is now providing ABE/ESOL classes, family literacy, early childhood programming, college transition courses, job and skills training, educational testing and a variety of support services co-located under one roof in a central location in downtown Northampton accessible by public transportation. Rehabilitation work included new

heating and electrical systems, design and construction of an accessibility ramp and general carpentry work. Ten percent (10%) of the CDBG-R funds are allocated for planning and administration.

Phase 1 renovations to the James House were completed in October of 2009 using \$40,000 in privately donated funding. Phase 1 included demolition of the former holding cells in the basement and construction of offices, a meeting room, and a child care classroom on the first floor. Energy efficiency improvements and photovoltaic panels were installed on the building using a grant from the Massachusetts Technology Collaborative (MTC). Phase 2 renovations using CDBG-R, CDBG, EDI, MTC, and private donations began in November of 2009. The new accessible ramp and sidewalk was completed in June 2010. Design specifications and bid documents for the remaining interior construction work was completed in June 2010. Contracting and construction of the Phase 2 work was completed in Program Year 2010. \$65,010.22 was expended in FY10/Program Year 2009. The remainder of the \$201,513 CDBG-R award was fully expended in FY11/Program Year 2010.

FY11 expenditures included \$22,287.50 for architectural services for interior design, \$106,919 for Phase 2 interior renovations \$3,400 for project delivery administration, \$82.50 for bid advertisements, \$50.00 for a variance filing fee, \$3,763.78 for overall administration, for a total of \$136,502.78.

LEVERAGED RESOURCES

Federal, state and private resources within the City of Northampton fluctuate from year to year. At every opportunity the City of Northampton seeks to leverage CDBG funds with other federal, state, local and private resources (including previously mentioned City CPA funds).

City CDBG, CDBG-R and EDI funded projects that leveraged other funding sources in Year 27 include:

| Name of Project | Funding Origin | Funding Source/ Program | Amount committed | Amount expended this program year |
|-----------------------------------|---------------------|-------------------------|--------------------------|-----------------------------------|
| Village at Hospital Hill Phase II | Federal | EDI | \$196,000 | Fully expended |
| Senior Center (Project completed) | Federal Fundraising | *CDBG Local | \$1,400,000 \$400,000 | \$336,341 |

| | | | | |
|-----------------------------|---------------------|----------------------|-----------|----------------|
| James House Learning Center | Federal | CDBG-R | \$201,513 | Fully expended |
| | Federal | CDBG | \$17,868 | Fully expended |
| | Federal | HUD EDI | \$95,000 | Fully expended |
| | Local | Smith College | \$20,000 | Fully expended |
| | Local | Home Depot | \$20,000 | Fully expended |
| | Regional Foundation | Beveridge Foundation | \$20,000 | Fully expended |

| Other Housing Projects/Units | Funding Origin | Funding Source/Program | Amount Anticipated | Amount Received |
|-------------------------------|----------------|---|--------------------|---|
| Northampton Housing Authority | Federal | Public Housing Subsidies | \$377,241 | \$312,869 |
| 7/1/10 - 6/30/11 | Federal | ARRA / Capital Projects | \$182,526 | \$182,526 |
| | Federal | Capital Fund | \$144,198 | \$142,963 |
| | Federal | Section 8 | \$3,480,932 | \$3,273,648 |
| | Federal | Section 8 Mod Rehab | \$163,683 | \$167,743 |
| | State | Public Housing Subsidies | \$383,309 | \$366,928 |
| | State | Mass Rental Vouchers | \$34,000 | \$30,852 |
| | State | Mix Population Grant | \$31,200 | \$31,200 |
| | State | Modernization Program- Federal ARRA funds | \$132,400 | \$244,210 Hampshire Heights Heating System |
| | Federal | Veterans Supportive Housing Program | \$506,772 | \$506,772 |

| | | | | |
|--|-------|--------------------------------------|---|------|
| | | Section 8 Rental Vouchers | | |
| Meadowbrook Apartments 222 units | State | Low Income Housing Tax Credits | \$6.5 Million Equity 40-Year Affordability | *tbd |

*to be determined- information requested, not yet received.

| Public Service Sub- Recipients | Funding Origin | Funding Source/ Program | Amount Anticipated | Amount Received |
|--|-------------------|---|-----------------------|--------------------|
| Casa Latina | Federal | Northampton CDBG | \$12,435 | \$16,435 |
| | | Community Action * | \$22,000 | \$5,500 |
| | Private | United Way * | \$35,000 | \$35,000 |
| | | *support services for all Hampshire County | | |
| CHD / Big Brothers Big Sisters | Federal | Northampton CDBG | \$5,000 | \$5,000 |
| | State | MA Service Alliance | \$7,500 | \$2,127 |
| | Private | United Way | \$7,000 | \$10,000 |
| | Private | Fundraising | \$30,000 | \$31,238 |
| | Private | Donations | \$7,500 | \$11,500 |
| CHD / SRO Outreach | Federal | Northampton CDBG | \$18,000 | \$18,000 |
| | Federal | FEMA | \$1,200 | \$1,200 |
| | Private | United Way | \$36,000 | \$24,000 |
| | Private | Shelter Sunday | \$4,000 | \$6,000 |
| | | Highland Valley Elder Services | \$5,000 | \$2,000 |
| | | Valley CDC | \$3,000 | \$3,000 |
| | | Home City Housing | \$2,500 | \$2,500 |
| Center for New Americans | Federal | Northampton CDBG | \$9,000 | \$10,093 |
| | State | DOE - ABE | \$25,600 | \$25,600 |
| | Private | Community Foundation of WM | \$20,000 | \$20,048 |
| Community Action / First Call for Help | Federal | Northampton CDBG | \$3,000 | \$3,000 |

| | | | | |
|---------------------------------|-----------------|---------------------------------|-----------|-----------|
| | Federal | CSBG | \$16,121 | \$27,423 |
| | Private | United Way | \$10,500 | \$10,500 |
| Community Action / Generation Q | Federal | Northampton CDBG | \$5,000 | \$5,000 |
| | Federal | CSBG | \$21,500 | \$9,730 |
| | State | DMH | \$63,314 | \$19,216 |
| | | BAGLY | \$6,512 | \$20,000 |
| | State | DPH / Safe Spaces | \$10,660 | \$0 |
| Freedom Center | Federal | Northampton CDBG | \$2,500 | \$2,000 |
| | Private | Resist | \$3,000 | \$3,000 |
| | Private | Donation | \$750 | \$750 |
| Literacy Project | Federal | Northampton CDBG | \$8,000 | \$10,858 |
| | Federal | WIA Youth | \$2,500 | \$2,414 |
| | Private | United Bank | \$500 | \$593 |
| MANNA | Federal | Northampton CDBG | \$2,500 | \$2,500 |
| | Private | Shelter Sunday | \$7,500 | \$9,942 |
| | Private | Donations | \$2,000 | \$4,050 |
| | Private | Project Bread | \$2,000 | \$2,000 |
| | Private | Rice Bowl (Catholic Charities) | \$1,000 | \$1,574 |
| | Private | Local Churches | \$5,700 | \$5,300 |
| Mass Fair Housing | Federal | Northampton CDBG | \$2,500 | \$2,500 |
| | Federal | HUD | \$200,000 | \$206,250 |
| Service Net / Cot Shelter | Federal | Northampton CDBG | \$15,355 | \$15,355 |
| | Federal | ESG | \$9,456 | \$9,456 |
| | State and Local | | \$59,712 | \$59,712 |
| | Private | | \$30,000 | \$27,137 |
| | | Deferred Payment Loans | \$9,999 | \$10,000 |
| Service Net / Grove Street | Federal | Northampton CDBG | \$17,000 | \$17,000 |
| | Federal | ESG | \$55,070 | \$0 |
| | State and Local | | \$162,879 | \$155,188 |
| | Private | | \$60,000 | \$56,869 |
| Survival Center | Federal | Northampton CDBG | \$15,000 | \$15,000 |
| | Federal | EFSP Grant | \$8,700 | \$2,250 |

| | | | | |
|------------------------|---------|---|-----------|-----------|
| | Local | City of Easthampton | \$4,500 | \$5,000 |
| | Local | Grant Income | \$20,044 | \$42,000 |
| | Private | Project Bread | \$8,000 | \$8,000 |
| | Private | United Way | \$32,966 | \$45,744 |
| | Private | Misc. Grants | \$35,500 | \$37,230 |
| | Private | Individual Donations | \$41,000 | \$220,317 |
| WM Training Consortium | Federal | Northampton CDBG | \$2,500 | \$2,500 |
| | State | Dept. of Mental Health | \$10,000 | \$7,910 |
| | Private | Fundraising | \$10,000 | \$3,300 |
| OTHER | | | | |
| McKinney Vento Funding | Federal | Dedicated HMIS project | \$80,080 | \$80,080 |
| | | Franklin Hampshire Permanent Housing | \$100,527 | \$100,527 |
| | | Franklin Hampshire Transitional Housing and Mobile Outreach | \$242,300 | \$242,300 |
| | | Paradise Pond Family Apartments | \$22,312 | \$22,312 |
| | | Soldier On Transitional Housing | \$72,450 | \$72,450 |
| | | Vets Village | \$51,675 | \$51,675 |

Community Preservation Act

In November 2004 the residents of Northampton voted by ballot referendum to adopt the Massachusetts Community Preservation Act (CPA). The CPA allows communities to create a local Community Preservation Fund to raise money through a surcharge of up to 3% of the real estate tax levy on real property for affordable housing, open space protection and recreation, and historic preservation. The act also creates a significant state match of up to 100% of the locally raised CPA funds. CPA monies have been allocated in the City for acquisition, preservation, and creation and restoration of affordable housing. Funds have also been disbursed for the support of housing activities, including first-time homebuyer assistance and foreclosure prevention services.

The Northampton Community Preservation Committee (CPC) was formed in March 2007 to make recommendations to the Mayor and City Council on how to utilize CPA funds. Since its creation, the CPC and the City has awarded a total of \$5,506,004 to forty-three different (43) projects. This new local resource has been used to provide local cash match dollars at a time when local CDBG dollars are allocated to paying the debt service on the Senior Center.

The following CPA projects awarded in 2010-11 address priority needs listed within the CDBG Consolidated Plan, Action Plan and recently completed Housing Needs Assessment and Strategic Plan:

Valley Community Development Corporation/ Pre-Development Fund

This \$80,000 allocation allows Valley to conduct feasibility analyses on potential affordable housing projects and act quickly to acquire property in a robust real estate market.

Gandara Community House/ Sober Housing

This \$185,000 allocation assisted with the creation of 6 housing units for formerly homeless individuals committed to sobriety. Friends of Hampshire County Homeless Individuals purchased a duplex on Maple Avenue, then deeded it to the Gandara Center for on-going operation and management.

In its history, the Northampton Community Preservation Committee has made awards for the following housing projects and leveraged the following dollar amounts:

| Housing Project | Round Funded | Total Project Budget | \$'s Leveraged | CPA Award |
|----------------------------|--------------|----------------------|----------------|-----------|
| Garfield Ave./Habitat | Round 1 2008 | 699,975 | 579,975 | 120,000 |
| Yvonne's House | Round 1 2008 | 395,000 | 175,000 | 220,000 |
| Housing Plan | Round 1 2008 | 35,000 | 0 | 35,000 |
| 1 st THB/Valley | Round 1 2008 | 26,161 | 0 | 26,161 |
| Alliance for Sober Living | Round 2 2008 | 47,617 | 8,000 | 39,617 |
| Paradise Pond/HAP | Round 2 2008 | 26,627 | 0 | 26,627 |
| Grove Street Inn/Rehab | Round 2 2008 | 8,824 | 0 | 8,824 |
| King Street SRO/Valley | Round 3 2008 | 211,000 | 188,500 | 225,000 |
| Maple St. SRO/Valley | Round 3 2008 | 201,500 | 1,765,000 | 250,000 |
| Valley Pre-Dev. Fund 1 | Round 3 2008 | 10,000 | 0 | 10,000 |
| Valley Pre-Dev. Fund 2 | Round 2 2009 | 10,000 | 0 | 10,000 |
| Valley Pre-Dev. Fund 3 | Round 2 2010 | 80,000 | 0 | 80,000 |
| Garfield Ave. | Round 2 2009 | 168,605 | 108,605 | 60,000 |

| | | | | |
|---------------------------|--------------|---------|---------|---------|
| Garfield Ave. | Round 2 2009 | 168,604 | 108,604 | 60,000 |
| Garfield Ave. | Round 2 2009 | 168,604 | 108,604 | 60,000 |
| Grove Street Inn/ City | Round 2 2009 | 36,950 | 0 | 36,950 |
| Gandara/Sober House | Round 2 2010 | 335,000 | 185,000 | 180,000 |

Institutional Structure

The City re-organized the institutional structure responsible for the CDBG Program administration in July of 2009. A new department, separate from the Office of Planning and Development was created. The Community and Economic Development Office (CEDO) is comprised of a Director of Community and Economic Development, the Housing and Community Development Planner/CDBG Administrator, and the CDBG/SHP Administrative Assistant.

CDBG, the McKinney Supportive Housing Program and other housing and community development programs are components of the Community and Economic Development Office. The Housing and Community Development Planner/CDBG Administrator is responsible for overall management and coordination of housing and community development activities and services outlined in the Consolidated Plan and annual Action Plan. She oversees housing and community development contracts and monitors housing and community development funded activities. CEDO staff interface with City Departments, boards and commissions (Department of Public Works, Parks and Recreation, Council on Aging, Housing Partnership), other City Hall staff (Office of Planning and Development, Treasurer's Office, Assessor's Office, Mayor's Office) and with community based organizations, nonprofit and for-profit housing developers, public service agencies and anti-poverty organizations. The CEDO director is responsible for overall management and coordination of department responsibilities, economic development activities and some public facility activities. The Community Development Assistant is responsible for contract implementation, reporting and financial management.

Sub- Recipient Monitoring

The City's Community and Economic Development (CEDO) Office is responsible for overall management and coordination of activities and services outlined within the annual Action Plan.

On-site programmatic and fiscal monitoring of CDBG activities takes place regularly and includes the following:

- Notification Letter
- On-site monitoring visit and interview with program/activity personnel
- Review of program/activity documentation and financial records with subrecipient personnel using City of Northampton Subrecipient Monitoring Review Form,
- Monitoring Follow-Up Letter

Monitoring visits are undertaken with a focus on assisting the subrecipient to build capacity; better reach their program goals and target population within the City; achieve improved compliance with CDBG regulations and requirements; and provide an opportunity to receive additional technical assistance from City CDBG staff. Monitoring visits were completed on five CDBG funded programs during 2009, the remaining visits were completed in 2010.

A review of all current year CDBG projects was completed on a quarterly basis using a standardized quarterly report form. This review focused on federal regulatory reporting requirements and performance measures as referenced within sub-recipient contracts and scope of services. Quarterly accomplishments were reported in HUD's Integrated Disbursement Information System (IDIS) after their review.

Affordable Housing Monitoring

CEDO has completed an affordable housing monitoring matrix for all affordable housing projects funded with block grant resources over the past 20 years. The City completes "desk monitoring reviews" of all projects *at least* every three years and "on site monitoring reviews" of all projects *at least* every seven years. Monitoring includes ensuring affordable housing units are occupied by income eligible households and that affordable rents are in place in the case of assisted rental units.

Anti Poverty Strategy

The City of Northampton is the county seat for Hampshire County Massachusetts and is the service delivery nexus for many low and moderate-income persons and families. The CDBG program is the City's primary resource for assisting low and moderate-income households in Northampton and is leveraged with other federal and state resources within the jurisdiction. The City undertook its CDBG program for 2010-2011 in consultation with the following local agencies and organizations that serve the low and moderate-income population of the City of Northampton and Hampshire County.

- Community Action of the Franklin, Hampshire and N. Quabbin Regions
- Valley Community Development Corporation Inc.
- United Way of Hampshire County Inc.
- Northampton Housing Authority
- Pioneer Valley Habitat for Humanity Inc.
- ServiceNet, Inc.

- Center for Human Development Inc.
- Department of Transitional Assistance
- Regional Employment Board/Career Center
- Northampton Chamber of Commerce

Hampshire Community Action Commission (HCAC) had served as the CAP agency for the County until May of 2005 when the organization ceased operations. The Hampshire County catchment area has been incorporated into the Franklin County CAP agency. An office and many program components are located in Northampton. Community Action staff are actively involved in the Regional Network to End Homelessness, the Three County Continuum of Care, COSA (Hampshire County Council of Social Agencies) and are Northampton CDBG sub-grantees.

By insuring coordination, providing direct funding through CDBG and the Community Preservation Program and by supporting requests to other funding sources, the City worked to reduce the numbers of households at or below the poverty level.

The strategy to reduce poverty in the City was approached from multiple angles providing an overlapping service and opportunity net that included affordable housing, social and supportive services, alternative educational/career development pathways, and job creation.

DETAILED PROJECT UPDATE SUMMARIES AND ACCOMPLISHMENTS

INFRASTRUCTURE IMPROVEMENTS

Conz Street Sidewalks - HUD # 0711 /

Priority Need: High- Street and Sidewalk Improvements

Project Objective: Create a suitable living environment

Project Outcome: Sustainable neighborhood

Performance Measure: Access/Improvement to Streetscapes
Enhanced Pedestrian safety, reduce vehicular use

Accomplishment: Replacement of 1,450 linear feet of sidewalk directly adjacent to the Northampton Senior Center and a 192 unit elderly housing development. Connects both facilities to the central business district.

PUBLIC FACILITIES

Senior Center Project Delivery Costs - HUD # 0546 / Project delivery costs, project management costs, legal costs, material testing

Priority Need: High - Senior Center

Project Objective: Create a suitable living environment

Project Outcome: Availability of a public facility (senior center)

Performance Measure: Construction of a full service senior center

Expenditure: CDBG \$ 8,645.56 available; \$0 expended

| | | |
|------------------|-----------------------------|--------------|
| Leveraged Funds: | City construction financing | \$ 1,400,000 |
| | Private fundraising | \$ 400,000 |

Accomplishment: A full service senior center was identified as a high priority need in May 2000. Construction began in the spring of 2006 and was

completed in September 2008. It is a fully operational center, with easy access, parking and extensive programmatic and socialization opportunities. Additional outreach to occur to neighboring subsidized elderly housing. Some additional landscaping remains to be done. Project delayed due to excessive rain and student scheduling with the local vocational high school.

Senior Center Debt Service - HUD #0596 / Principal and interest payments on debt service

Priority Need: High - Senior Center

Project Objective: Create a suitable living environment

Project Outcome: Availability of a public facility (senior center)

Performance Measure: Fourth installment of the City's debt service for construction

Expenditure: CDBG \$ 336,341

Leveraged Funds: City construction bond \$ 1,400,000
Private fundraising \$ 400,000

Accomplishment: A full service senior center had been identified as a high priority need for the City beginning in May 2000. Construction of the senior center began in spring 2006. Construction completed in September 2008.

Pulaski Park Infrastructure - HUD #0628 /

Priority Need: Medium

Project Objective: Elimination of Slums and Blight on an area basis

Project Outcome: Address conditions of deterioration and create a suitable living environment

Performance: Completion of utility installation and sidewalk refurbishing

Measure

Expenditure: CDBG \$ 11,018.63

Leveraged Funds: \$ 0

Accomplishment: Repaved asphalt path and graded around play structure to restore areas disturbed by utility improvements

James House Learning Center - HUD # 0689, 0690, 0691, 0692 / Renovation of a municipally owned building to create a community learning center to provide ABE/ESOL, college readiness, job training, family literacy and family support services in a one-stop center accessible by public transportation

Priority Need: Medium - Other Public Facilities

Priority Objective: Create Economic Opportunities

Project Outcome: Availability of a public facility (community learning center)

Performance Measure: 1 public facility will be renovated to provide ABE/ESOL, college readiness, job training, family literacy, and family support services in a one-stop center accessible by public transportation for purposes of increasing accessibility to economic opportunity.

Expenditure: \$ 76,012.91

Leveraged Funds:

| | |
|-----------------------|-----------|
| CDBG-R Stimulus Funds | \$201,513 |
| HUD EDI | \$95,000 |
| Beveridge Foundation | \$20,000 |
| Smith College | \$20,000 |
| Home Depot | \$20,000 |

Accomplishment: Phase 1 renovations completed September 30, 2009. Renovations included demolition of the basement holding cells, construction of classrooms, multi-use meeting room, Northampton Community Education Collaborative and DTA offices. Phase 2 renovations completed this program year include a ramp for accessibility, construction of 5 classrooms, HVAC and energy efficiency improvements. 99 people served, 93 were low/mod households.

Housing Authority Accessibility Improvements HUD # 0693

Salvo House: Installation of automatic door openers

Priority Need: Medium

Priority Objective: Suitable living environment; improved accessibility

Project Outcome: Removal of architectural barriers to enhance access to public housing for disabled residents

Performance Measure: Provide easier access to disabled residents living at the Salvo House Elderly housing high rise.

Expenditure: \$9,094.00

Leveraged Funds: \$0

Accomplishment: 25 residents with physical disabilities served.

Housing Authority Accessibility Improvements HUD # 0694

Tobin Manor: Installation of automatic door openers

Priority Need: Medium

Priority Objective: Undertake access improvements ensuring persons with physical disabilities have adequate access to public facilities and services.

Project Outcome: Suitable living environment; improved accessibility
Removal of architectural barriers to enhance access to public housing for disabled residents

Performance Measure: Provide easier access to disabled residents living at the Tobin Manor Elderly housing

Expenditure: \$10,000.00

Leveraged Funds: \$0

Accomplishment: Residents of three barrier free units and an additional 12 residents that use walkers but are not considered physically disabled were served.

Vernon Street School Lead Paint Abatement HUD # 0713/

Priority Need: High

Project Objective: Develop and improve public facilities within CDBG eligible areas and facilities that serve the City's low/moderate income residents with the goal of ensuring citizens have access to and use of adequate public facilities.

Project Outcome: Increase health and safety in work environment serving low and moderate income families and children.

Performance Measure: Certification of Lead Paint Abatement Compliance

Expenditure: \$17,900.00

Leveraged Funds: \$230.00

Accomplishment: Exterior windows scraped, minimal interior work done to remedy incomplete lead compliance work that had occurred earlier. 67 served in building.

Forbes Library Handicap Entrance Design HUD # 0654/

Priority Need: High

Project Objective: Increased access to quality public and private facilities

Project Outcome: Forbes Library Handicapped Accessible Entrance

Performance Measure: Increased access to public facilities for those with disabilities

Expenditure: \$6,889.31

Leveraged Funds: \$600,000 total project cost to be raised.

Accomplishment: The design phase has been completed. The architect submitted three designs to the Board of Trustees in June of 2010, revisions were made and a final plan was selected in October of 2010. Applications for funding are currently being made to complete the project. CDBG funds will be utilized for construction supervision when the remaining funds are secured.

Public Facilities - Blight and Decadent Areas

Three County Fairground Redevelopment - HUD #0628

Priority Need: Medium - Elimination of blighted conditions, business development, and job retention/creation

Project Objective: Sustainability

Project Outcome: Elimination of blighted property and public infrastructure.

Performance Measure: Progress toward elimination of blighted property and public infrastructure.

Expenditure: CDBG \$ 0

Leverage Funds: \$ 4,000,000

Accomplishment: Demolished 15 blighted barn structures and replaced with 3 modern horse barns which will retain the existing horse and cultural shows at the facility ensuring the long term sustainability of the facility and retaining jobs.

ECONOMIC DEVELOPMENT

Micro Business Development - HUD # 0715 /

Valley CDC micro business development program providing assistance to eligible micro enterprises; business plan development, marketing and access to capital

Priority Need: High - Micro Business Development

Project Objective: Create economic opportunity

Project Outcome: Sustainability of viable communities through economic development and job creation activities

Performance Measure: Provide technical assistance to up to 20 individuals interested in creating or growing their businesses

Expenditure: CDBG \$ 20,000

Leveraged Funds: \$ 0

Accomplishment: The micro business program provides essential assistance to micro business start-ups and existing businesses seeking to expand. 21 were served. 9 new jobs created; 15 retained.

River Valley Market - HUD # 0586/

Creation of a locally owned food cooperative to house grocery operations in a 15,000 square foot certified green building to create jobs and stimulate the local economy.

Priority Need: High

Project Objective: Increase business development and job retention/creation targeting low/mod residents.

Project Outcome: Loan application stated that 60 jobs would be created in the first year, 30 for individuals with low and/or moderate incomes.

Performance Measure: CDBG contract required that at least 51% of the jobs created within the first 18 months be held by, or made available to persons with low and moderate incomes.

Expenditure: CDBG \$50,000
(\$60,000 overall, \$10,000 for pre-development costs
\$ 50,000 for traffic mitigation)

Leveraged Funds: \$7,568,000 total project cost

Accomplishments: Market opened on April 30, 2008. 146 positions were hired in the first eighteen months of operation and 118 went to people with very low, low and moderate incomes. 51% of 146 is 74 positions. Hiring low and moderate income persons far exceeded contract requirements. Market currently operating successfully, with 93 total jobs.

HOUSING DEVELOPMENT

First Time Homebuyer Program - HUD #0696 /

Valley CDC program offering first time homebuyer assistance and direct referrals to HOME assisted units available for homeownership

Priority Need: High - Housing Support Services

Project Objective: Provide decent affordable housing

Project Outcome: Availability of affordable homeownership housing

Performance Measure: To serve 45 households.

Expenditure: CDBG \$ 20,000

Leveraged Funds: \$ 0

Accomplishment: The Valley CDC homebuyer program provides assistance and direct referrals to first time homebuyers for HOME assisted units in Northampton and the region. Providing education and referrals to first time homebuyers increases the likelihood of success in securing decent safe and affordable housing. 65 households assisted.

Council on Aging (COA) Home Repair Program Administration - HUD # 0695 /
Administrative costs including salaries and recording fees related to the Council on Aging home repair program

Priority Need: High - Homeowner Housing Rehabilitation and Repair

Project Objective: Provide decent affordable housing

Project Outcome: Program operation to enable elderly to remain in their homes and rehabilitation of existing housing stock.

Performance Measure: Staff capacity to provide and oversee 5-6 loans and 2-3 grants.

Expenditure: CDBG \$10,756.17

Leveraged Funds: \$ 0

Accomplishment: Program was successfully administered and four projects were completed during the reporting period allowing elder homeowners to remain independent and in their homes.

COA Home Repair Grant - HUD #0718, #0720/
Home repair grants provided to elders as part of the COA home repair program

Priority Need: High

Project Objective: Provide decent affordable housing and suitable living environment

Project Outcome: Rehabilitation of existing housing stock and maintaining affordability of homeownership housing

Performance Measure: 2-3 households to receive grants.

Expenditure: CDBG # 0718- \$1,999
CDBG # 0720- \$1,222

Leveraged Funds: \$ 0

Accomplishment: Two (2) homeowners received grants for minor repairs addressing health safety and code issues. Grants up to \$2,000 were available.

COA Home Repair Deferred Payment Loans - HUD #0717; #0719/

Home repair deferred payment loans provided to elders as part of the COA home repair program

Priority Need: High - Homeowner Housing Rehabilitation and Repair

Project Objective: Provide decent affordable housing

Project Outcome: Affordability of homeownership housing

Performance Measure: 5-6 households to receive a low interest loan up to \$8,000

Expenditure: CDBG #0717 - \$7,500.00
#0719 - \$6,800.00

Leveraged Funds: \$ 0

Accomplishment: The COA home repair program assisted two (2) elders with maintaining their homes and remaining independent. Low interest (2.50%) deferred payment loans up to \$8,000 were available for the repair of major building systems and the correction of code issues. Staffing changes contributed to the lower number of households served.

Meadowbrook Apartments - HUD # 0712

Sewer line repair and exterior lighting at 252 unit affordable housing complex.

Priority Need: High

Project Objective: Preservation of affordable housing stock, more suitable living environment

Project Outcome: Enhanced safety for residents and elimination of a health and safety issue related to sewer line backing up into residential units

Performance Measures: Elimination of health and safety issue and safer physical environment due to better security with increased exterior illumination

Expenditure: \$2,225.00 (Total expenditure was 16,000)

Leveraged Funds: \$28,450.00

PUBLIC SERVICES

Big Brothers Big Sisters Mentoring Program - HUD #0699/ A mentoring program designed to serve low / mod income children focusing on self esteem issues, addressing isolation and improving educational and social success

Priority Need: High - Youth Programs

Project Objective: Create a suitable living environment

Project Outcome: Availability of youth services

Performance Measure: To serve 30 Northampton youth and their families.

Expenditure: CDBG \$ 5,000

| | | |
|-------------------------|-----------------------|-----------|
| Leveraged Funds: | Mass Service Alliance | \$ 2,127 |
| | Fundraising | \$ 31,238 |
| | United Way | \$ 10,000 |
| | Individual Donors | \$ 11,500 |

Accomplishment: Program successfully served 31 low income children and their families. Recruitment done at Hampshire and Florence Heights and through the school guidance counselors.

Casa Latina Bridges for Latinos - HUD #0697 / Outreach, education, advocacy, case management and service referrals for low and moderate income Latino households in Northampton.

Priority Need: High - Services for Special Needs Populations

Project Objective: Create a suitable living environment

Project Outcome: Create a suitable living environment

Performance Measure: To serve 200 members of the Latino community and facilitate service linkages.

Expenditure: CDBG \$ 16,435

Leveraged Funds: United Way Hampshire County \$ 35,000
Community Action \$ 5,500

Accomplishment: 274 persons were served through this grass roots, door to door outreach, information and referral program.

SRO Outreach Project - HUD #0700 / Supportive services including a food pantry, advocacy and case management for the City's single room occupancy (SRO) residents

Priority Need: High - Housing Support; Basic Needs

Project Objective: Create a suitable living environment

Project Outcome: Availability of housing support services

Performance Measure: To provide housing stabilization and outreach services to 200 residents of the community's SRO's

Expenditure: CDBG \$ 18,000

Leveraged Funds: United Way \$ 24,000
Shelter Sunday \$ 6,000
Highland Valley Elder Services \$ 2,000
Valley CDC \$ 3,000
Home City Housing \$ 2,500

FEMA

\$1,200

Accomplishment: The SRO Outreach Coordinator served 519 residents this year.

Center for New Americans Computer Skills and Education Classes HUD #0698 /
Basic computer skills and employment assistance to immigrant children and adults

Priority Need: High - Skills training and development

Project Objective: Create a suitable living environment

Project Outcome: Increased sense of connection in community

Performance Measure: To serve 45 individuals.

Expenditure: CDBG \$ 10,093

Leveraged Funds: CSBG Community Action \$ 20,048
DESE - ABE \$ 25,600

Accomplishment: The program served 45 immigrants this year, helping them improve their language skills and increase their educational and employment opportunities.

Community Action Generation Q - HUD #0702 / Weekly support and education groups for GLBTQ youth, youth of color, and their allies

Priority Need: High - Youth Services

Project Objective: Create a suitable living environment

Project Outcome: Availability and access to local services; academic assistance

Performance Measure: To serve 20 at-risk youth.

Expenditure: CDBG \$ 5,000

Leveraged Funds:

| | |
|-----------------|-----------|
| CSBG | \$ 9,730 |
| BAGLY | \$ 20,000 |
| State and Local | \$19,216 |

Accomplishment: Despite experiencing the loss of funding from the State Department of Mental Health and Department of Public Health, 74 youth were served.

Western Mass Wellness Project - HUD #0709 / Psychiatric unit outreach and provision of community services such as yoga, writing classes, acupuncture to assist those with mental health issues to stabilize in the community.

Priority Need: High - Access to mental health care

Project Objective: Create a suitable living environment

Project Outcome: Availability of health care to promote wellness and housing stability

Performance Measure: To serve 300 at risk or experiencing mental illness.

Expenditure: CDBG \$ 2,500

Leveraged Funds: Department of Mental Health \$ 7,910
Private donations \$ 3,300

Accomplishment: 552 persons served.

Manna Soup Kitchen - HUD # 0704 / Free meals three days per week provided year round in downtown Northampton through the efforts of Manna and Edwards Church.

Priority Need: High - Basic needs

Project Objective: Create a suitable living environment

Project Outcome: Availability of basic services

Performance Measure: To serve community meals to 150 low income residents.

| | | |
|------------------|--------------------|----------|
| Expenditure: | CDBG | \$ 2,500 |
| Leveraged Funds: | Project Bread | \$ 2,000 |
| | Local Churches | \$ 5,300 |
| | Shelter Sunday | \$ 9,942 |
| | Catholic Charities | \$ 1,574 |
| | Fundraising | \$ 4,250 |

Accomplishment: Due to increased need in the community, 652 persons were served.

Massachusetts Fair Housing Center - HUD # 0705/ Outreach and enforcement activities and other services to eliminate obstacles to securing housing

Priority Need: High - Housing Support Services

Project Objective: Create a suitable living environment

Project Outcome: Accessibility of housing

Performance

Measure: Conduct workshops, agency trainings and process housing discrimination complaints of Northampton residents.

Expenditure: CDBG \$2,500

Leveraged Funds: Federal funds \$ 206,250

Accomplishment: This program addressed impediments to fair housing choice in Northampton; 51 persons served.

Service Net Grove Street Inn/ HUD # 0706/ Staff and facility funding for the Grove Street Inn the City's homeless shelter for individuals

Priority Need: High - Housing Support Services
High - Preservation of the Existing Sheltering System

Project Objective: Create a suitable living environment

Project Outcome: Availability of emergency shelter

Performance Measure: To provide 115 individuals with an evening meal, overnight shelter and some case management services.

Expenditure: CDBG \$ 17,000

Leveraged Funds: State Funds \$ 155,188
Private Funds \$ 56,869

Accomplishment: This allocation provides staff capacity to administer the homeless shelter for individuals that operates year round. 122 people were served.

Service Net Interfaith Cot Shelter / HUD # 0707 / Staff funding for the City's cold weather shelter which operates November to April each year

Priority Need: High - Housing Support Services
High - Preservation of the Existing Sheltering System

Project Objective: Create a suitable living environment

Project Outcome: Availability of basic housing

Performance Measure: To provide an evening meal, overnight shelter and breakfast in a seasonal program operating during winter months to 225 homeless individuals.

Expenditure: CDBG \$ 15,355

Leveraged Funds: ESG Grant \$ 9,456
State and Local Funds \$ 59,712
Private Funds \$ 27,137
DPL \$ 10,000

Accomplishment: This funding allocation creates staff capacity to operate the winter emergency shelter. 232 persons served.

Community Action First Call for Help HUD # 0701 / Information and referral services to callers in need of social services.

Priority Need: High = Basic Needs
Project Objective: Create a suitable living environment
Project Outcome: Availability of basic services
Performance Measure: To provide I&R services to 400 phone inquiries.

Expenditure: CDBG \$ 3,000

Leveraged Funds: CSBG \$ 27,423
United Way \$ 10,500

Accomplishment: This program provides information and referral services to callers in need of assistance with accessing community resources. 323 people were assisted with phone referrals and in office visits. Name has been changed to Community Resource Center to reflect the one on one in house assistance.

The Literacy Project / HUD #0703 Adult basic education and counseling to students (age 16 and over) with transition to post-GED employment and/or higher education

Priority Need: High - Skills Training and Development

Project Objective: Create a suitable living environment; Create economic Opportunities

Project Outcome: Access to higher education and / or gainful employment

Performance Measure: To provide services of a Transitions Counselor who assists participants with other challenges being faced as they pursue educational and employment achievement.

Expenditure: CDBG \$ 10,862

Leveraged Funds: United Bank \$ 593.28
WIA Youth Grant \$ 2,414.30

Accomplishment: This program served 41 people.

Northampton Survival Center Emergency Food Pantry / HUD # 0708 Food for
Hampshire County Residents

Priority Need: High - Emergency Food Assistance
Project Objective: Create a suitable living environment
Project Outcome: Availability of basic services
Performance Measure: To serve 2,150 with nutritional food supplies.

Expenditure: CDBG \$ 15,000

Leveraged Funds:
Private Funds \$ 220,317
United Way \$ 45,744
Emergency Food & Shelter \$ 2,250
Project Bread \$ 8,000
Miscellaneous grants \$ 37,230

Accomplishment: This highly utilized program distributes food to income eligible households, as well as used clothing and makes service referrals. 3,943 persons were served.

Planning and Administration HUD # 0710/ Planning expertise for the City of
Northampton including comprehensive and community development planning,
preservation of affordable housing, economic development, and planning and
administration of the CDBG program

Priority Need: High - CDBG Administration, Community Planning and Staff Capacity
Project Objective: Create a suitable living environment
Project Outcome: Sustainability of communities and neighborhoods services
Expenditure: CDBG \$ 139,023.01
Leveraged Funds: City budget funds, SHP local matching funds
Accomplishment: Planning and Administration activities

- City Sustainability Plan Implementation

- CDBG Project Management for Pulaski Park
- CDBG Project Management for Village Hill-Northampton State Hospital Redevelopment
- CDBG-R Project Management for James House
- CDBG and SHP grant administration
- Next Step Collaborative
- Housing Partnership- Staff Support
- Housing Needs Study and Strategic Plan
- Ad Hoc Social Services Committee- Staff support
- Economic Development, Housing and Land Use Committee – staff support
- Economic Development Planning related to downtown, Fairgrounds, etc.
- Business Assistance programs

PUBLIC REVIEW & COMMENT

The City of Northampton published a legal notice in the Hampshire Daily Gazette and posted this same notice on the web at: northamptonma.gov/opd/cdbg on September 30, 2011. The legal notice informed the public that the CDBG Consolidated Annual Performance and Evaluation Report (CAPER) was available for public review and comment.

SECTION THREE REPORTING

In FY11/Year 27 there were no CDBG, EDI or SHP construction projects that exceeded \$200,000 in CDBG/EDI/SHP funding and no Section 3 thresholds were triggered. Summary reports are attached for CDBG and EDI funds received by the City that were designated for construction projects. The City received \$201,513 in CDBG-R funding in FY10/Year 26. \$181,362 of the CDBG-R project was allocated towards the James House Community Learning Center Renovation Project. No contracts exceeding \$100,000 were awarded with CDBG-R funds in FY10. A Section 3 Summary report is attached for all construction related projects.

Prepared By:

Peg Keller, Housing and Community Development Planner/
CDBG Administrator

Cam Leon, Community Development Administrative Assistant

Teri Anderson, Director, Community and Economic Development

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT
PR 26 - CDBG Financial Summary Report

IDIS

NORTHAMPTON, MA
2010

| | |
|--|----------------------------|
| Grantee | |
| Program Year | |
| PART I: SUMMARY OF CDBG RESOURCES | |
| 01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR | 288,296.22 |
| 02 ENTITLEMENT GRANT | 821,633.00 |
| 03 SURPLUS URBAN RENEWAL | 0.00 |
| 04 SECTION 108 GUARANTEED LOAN FUNDS | 0.00 |
| 05 CURRENT YEAR PROGRAM INCOME | 51,958.86 |
| 06 RETURNS | 0.00 |
| 07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE | 12,889.65 |
| 08 TOTAL AVAILABLE (SUM, LINES 01-07) | 1,174,777.73 |
| PART II: SUMMARY OF CDBG EXPENDITURES | |
| 09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION | 715,953.01 |
| 10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT | (4,952.84) |
| 11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10) | 711,000.17 |
| 12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION | 144,022.01 |
| 13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS | 0.00 |
| 14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES | (4,999.00) |
| 15 TOTAL EXPENDITURES (SUM, LINES 11-14) | 850,023.18 |
| 16 UNEXPENDED BALANCE (LINE 08 - LINE 15) | 324,754.55 |
| PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD | |
| 17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS | 0.00 |
| 18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING | 2,225.00 |
| 19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES | 702,709.38 |
| 20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT | 6,065.79 |
| 21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20) | 711,000.17 |
| 22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11) | 100.00% |
| LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS | |
| 23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION | PY: 2008 PY: 2009 PY: 2010 |
| 24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION | 1,966,735.93 |
| 25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS | 1,966,735.93 |
| 26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24) | 100.00% |
| PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS | |
| 27 DISBURSED IN IDIS FOR PUBLIC SERVICES | 123,241.83 |

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT
 PR 26 - CDBG Financial Summary Report

| | |
|---|------------|
| 28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR | 3.17 |
| 29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR | 500.00 |
| 30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS | 496.83 |
| 31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30) | 123,241.83 |
| 32 ENTITLEMENT GRANT | 821,633.00 |
| 33 PRIOR YEAR PROGRAM INCOME | 26,012.37 |
| 34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP | 10,937.85 |
| 35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34) | 858,583.22 |
| 36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35) | 14.35% |
| PART V: PLANNING AND ADMINISTRATION (PA) CAP | |
| 37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION | 144,022.01 |
| 38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR | 25,302.99 |
| 39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR | 4,408.06 |
| 40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS | (4,999.00) |
| 41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40) | 159,917.94 |
| 42 ENTITLEMENT GRANT | 821,633.00 |
| 43 CURRENT YEAR PROGRAM INCOME | 51,958.86 |
| 44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP | 12,889.65 |
| 45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44) | 886,481.51 |
| 46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45) | 18.04% |

CO4PR26

CDBG-R FINANCIAL SUMMARY FOR PROGRAM YEAR: FY2010

| PART I: SUMMARY OF CDBG RESOURCES | | Amount |
|-----------------------------------|---|---------------|
| 1 | UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR | - |
| 2 | CDBG-R GRANT AWARD | 201,513.00 |
| 3 | SURPLUS URBAN RENEWAL | - |
| 4 | SECTION 108 GUARANTEED LOAN FUNDS | - |
| 5 | CURRENT YEAR PROGRAM INCOME | - |
| 6 | RETURNS | - |
| 7 | ADJUSTMENT TO COMPUTE TOTAL AVAILABLE | |
| 8 | TOTAL AVAILABLE (SUM, LINES 01-07) | \$ 201,513.00 |

| PART II: SUMMARY OF CDBG EXPENDITURES | | |
|---------------------------------------|---|------------|
| 9 | DISBURSEMENTS OTHER THAN SECTION 108 REPAYMTS AND PLANNING/ADMIN. | 181,362.00 |
| 10 | ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT | - |
| 11 | AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10) | 181,362.00 |
| 12 | DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION | 20,151.00 |
| 13 | DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS | - |
| 14 | ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES | - |
| 15 | TOTAL EXPENDITURES (SUM, LINES 11-14) | 201,513.00 |
| 16 | UNEXPENDED BALANCE (LINE 08 - LINE 15) | \$ - |

| PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD | | |
|---|---|---------------|
| 17 | EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS | - |
| 18 | EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING | - |
| 19 | DISBURSED FOR OTHER LOW/MOD ACTIVITIES | 181,362.00 |
| 20 | ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT | - |
| 21 | TOTAL LOW/MOD CREDIT (SUM, LINES 17-20) | \$ 181,362.00 |
| 22 | PERCENT LOW/MOD CREDIT (LINE 21/LINE 11) | 100% |

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

| 23 | PROG. YEARS(PY) COVERED IN CERTIFICATION | PY09 | PY10 | PY |
|----|--|------|------|------------|
| 24 | CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALC. | | | 181,362.00 |
| 25 | CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS | | | 181,362.00 |
| 26 | PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24) | | | 100.00% |

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

| | | | | |
|----|--|--|----|------------|
| 27 | DISBURSED IN IDIS FOR PUBLIC SERVICES | | | |
| 28 | PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR | | | - |
| 29 | PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR | | | - |
| 30 | ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS | | | - |
| 31 | TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30) | | | - |
| 32 | ENTITLEMENT GRANT (linked to line #2) | | | 201,513.00 |
| 33 | PRIOR YEAR PROGRAM INCOME | | | |
| 34 | ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP | | | - |
| 35 | TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34) | | \$ | 201,513.00 |
| 36 | PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35) | | | 0.00% |

PART V: PLANNING AND ADMINISTRATION (PA) CAP

| | | | | |
|----|---|--|----|------------|
| 37 | DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION | | | 20,151.00 |
| 38 | PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR | | | - |
| 39 | PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR | | | - |
| 40 | ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS | | | - |
| 41 | TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40) | | \$ | 20,151.00 |
| 42 | ENTITLEMENT GRANT (linked to line #2) | | | 201,513.00 |
| 43 | CURRENT YEAR PROGRAM INCOME | | | |
| 44 | ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP | | | - |
| 45 | TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44) | | \$ | 201,513.00 |
| 46 | PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45) | | | 10.00% |



CITY OF NORTHAMPTON
Teri Anderson, Director
Community and Economic Development Office
City Hall, 210 Main Street, Northampton, MA 01060-3199
Direct Phone: (413) 587-1253 Fax: (413) 587-1275
tanderson@northamptonma.gov

October 21, 2011

U.S. Department of Housing and Urban Development
Attn: Economic Opportunity Division
451 Seventh Street, SW
Room 5235
Washington, DC 20410

Dear Sir or Madam:

Enclosed please find the Section 3 Summary Reports for the City of Northampton, Massachusetts for period July 1, 2010 to June 30, 2011. These reports are also included in the Northampton CAPER submitted to HUD October 31, 2011.

The HUD Section 3 online submittal link is not functioning for me.

Please let me know if you have any questions. Thank you.

Sincerely,

Teri Anderson
CEDO Director

C: Scott Cleveland, HUD Regional Representative

Part II: Contracts Awarded

1. Construction Contracts:

| | |
|---|------|
| A. Total dollar amount of all contracts awarded on the project | \$ 0 |
| B. Total dollar amount of contracts awarded to Section 3 businesses | \$ 0 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0 % |
| D. Total number of Section 3 businesses receiving contracts | 0 |

2. Non-Construction Contracts:

| | |
|---|------|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | \$ 0 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses | \$ 0 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0 % |
| D. Total number of Section 3 businesses receiving non-construction contracts | 0 |

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

The City did not award construction projects in excess of \$100,000 for period 7/1/10 - 6/30/11. Section 3 requirements were not triggered. The City did not hire any new employees with CDBG funds.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to recipients of housing and community development assistance in excess of \$200,000 expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name.
1. Recipient: Enter the name and address of the recipient submitting this report.
 2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
 3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
 - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
 6. Reporting Period: Indicate the time period (months and year) this report covers.
 7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in Column A in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in Column A in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts - Self-explanatory

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

CITY OF NORTHAMPTON
Community Development Block Grant Program
Consolidated Annual Performance and Evaluation Report
NOTICE OF AVAILABILITY

The City of Northampton's Community Development Block Grant (CDBG) program Consolidated Annual Performance and Evaluation Report (CAPER) will be available for public review and comment on October 3, 2011 at the Community and Economic Development Office, Monday - Friday, from 8:30 a.m to 5:00 p.m. Comments will be accepted until October 24, 2011.

The CAPER covers the program year which began on July 1, 2010 and concluded June 30th 2011. The report will also be available for review on line at <http://www.northamptonma.gov/cedo/>

Peg Keller, Housing and Community Development Planner/CDBG
Administrator
Community and Economic Development Office
City of Northampton (413) 587-1288
pkeller@northamptonma.gov

Publish: Friday September 30, 2011

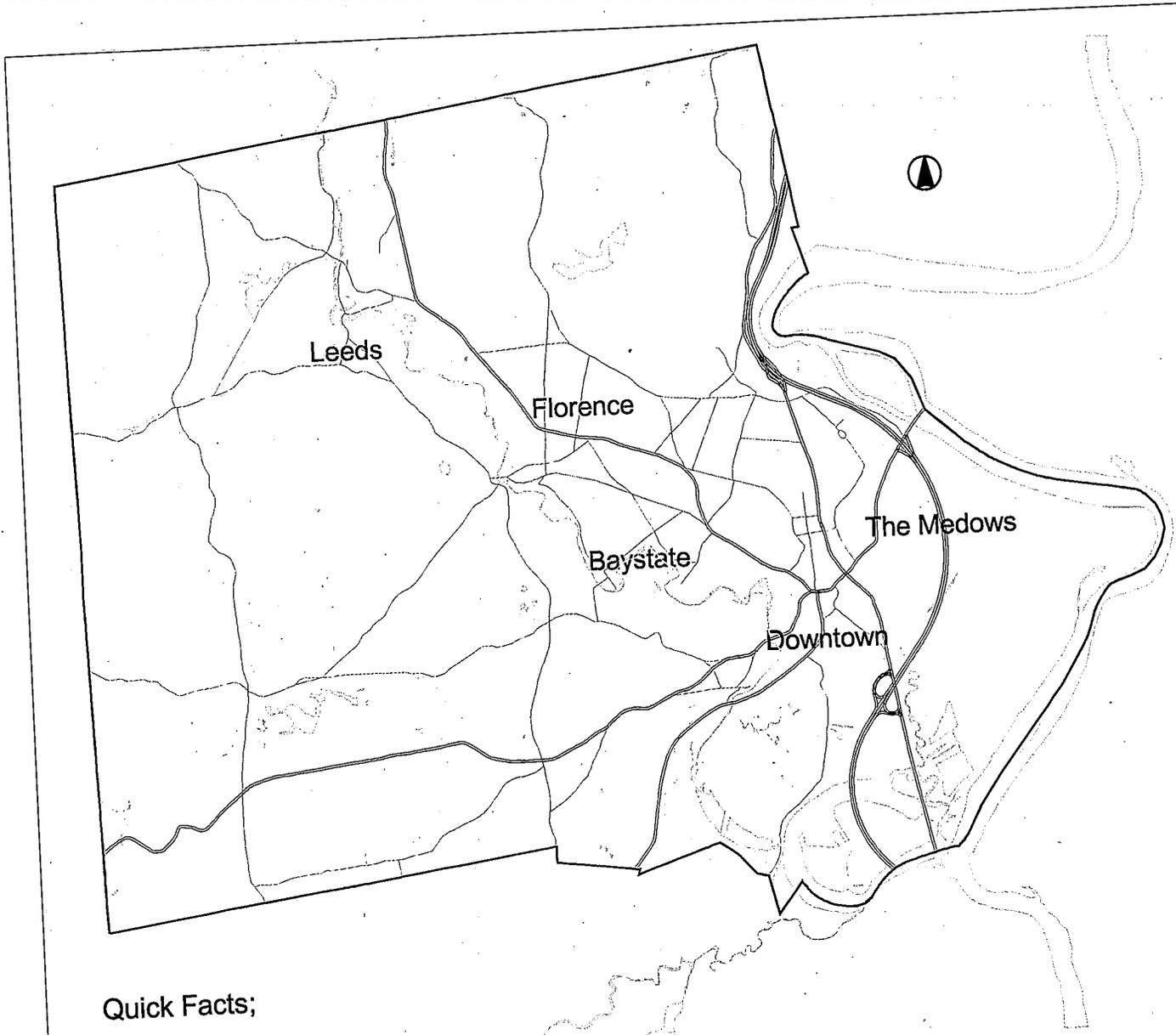
**CITY OF NORTHAMPTON
Community Development
Block Grant Program
Consolidated Annual
Performance and
Evaluation Report**

NOTICE OF AVAILABILITY
The City of Northampton's
Community Development
Block Grant (CDBG)
program Consolidated
Annual Performance and
Evaluation Report (CAPER)
will be available for public
review and comment on
October 3, 2011 at the
Community and Economic
Development Office,
Monday - Friday, from
8:30 a.m to 5:00 p.m.
Comments will be accepted
until October 24, 2011.

The CAPER covers the
program year which
began on July 1, 2010
and concluded June 30th
2011. The report will also
be available for review
on line at <http://www.northamptonma.gov/cedo/>

Peg Keller, Housing and
Community Development
Planner/CDBG
Administrator
Community and Economic
Development Office
City of Northampton
(413) 587-1288
pkeller@northamptonma.gov
September 30

3192979



Quick Facts;

Date Incorporated: 1883
 Form of Government: Mayor/City Counsel
 2005 Population: 29200
 Land Area: 34.46 sq. miles

Date: 07-Apr-2000
 Author: J
 Revision: 1
 File: C:\Data-GIS\AV\Projects\James\EL_CDB\Gis\cdbg\cdbg_projects.apr

**CITY OF NORTHAMPTON
 HAMPSHIRE COUNTY, MASSACHUSETTS**



